PRAJA'S URBAN GOVERNANCE INDEX (UGI) 2024

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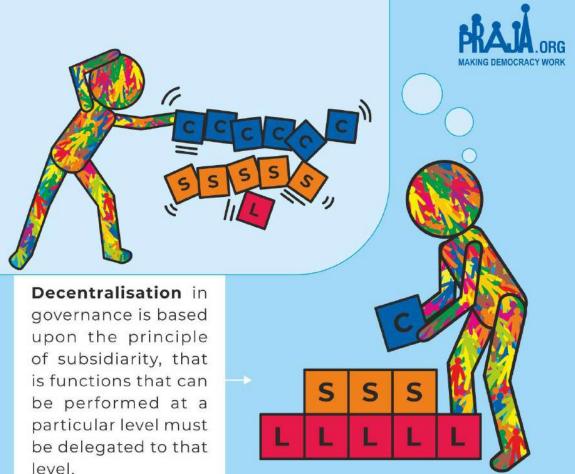
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Empowering Local Governance





L LOCAL GOVERNMENT S STATE GOVERNMENT C CENTRAL GOVERNMENT

#PrincipleOfSubsidiarity

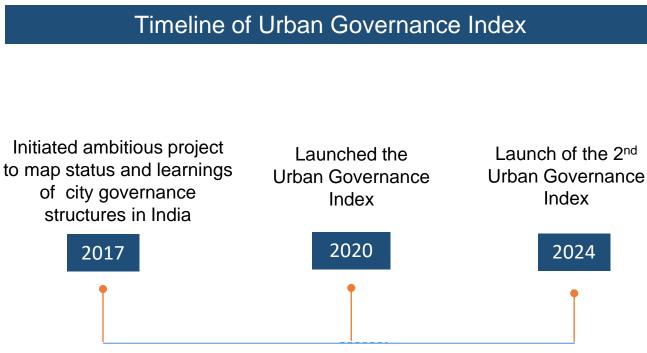
for good governance

The 74th Constitution Amendment Act of 1992 aimed ٠ to strengthen local governments and provide them with greater authority.

Since then, urban reforms like JNNURM, Swachh ٠ Bharat Mission, AMRUT, and Smart Cities Mission have empowered city governments by transferring funds, functions, and functionaries.

Urban Governance Index – Status and Learnings so far....





Aims to transform urban governance by empowering city governments through effective implementation of Principle of Subsidiarity and increasing citizen participation in local governance matters

Urban Governance Index 2020

Urban Governance Study spanned over 3 years (2017-2020) in 43 cities across 28 states, 2 Union Territories and National Capital Territory of Delhi

The Urban Governance Index ranked across 29 cities 2 Union Territories and National Capital Territory of Delhi

Urban Governance Index 2024

Urban Governance Study was conducted from 2023-2024 in **43 cities** across 28 States, 2 Union Territories and National Capital Territory of Delhi

In this iteration, the Urban Governance Index ranks **31 cities** across 28 States, 2 Union Territories and National Capital Territory of Delhi

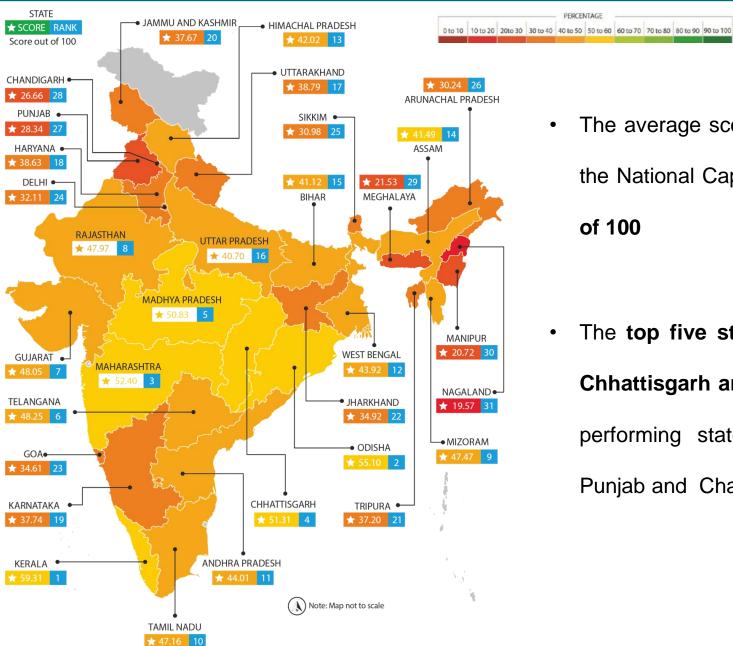


Urban governance Index looks at the structural empowerment of the city governments by the State government



Overall Performance of 31 States

PERCENTAGE



The average score for 28 states, 2 Union Territories, and the National Capital Territory of Delhi stands at **39.70 out** of 100

The top five states are Kerala, Odisha, Maharashtra, • Chhattisgarh and Madhya Pradesh while the bottom 5performing states are Nagaland, Manipur, Meghalaya Punjab and Chandigarh

Overall Key Insights

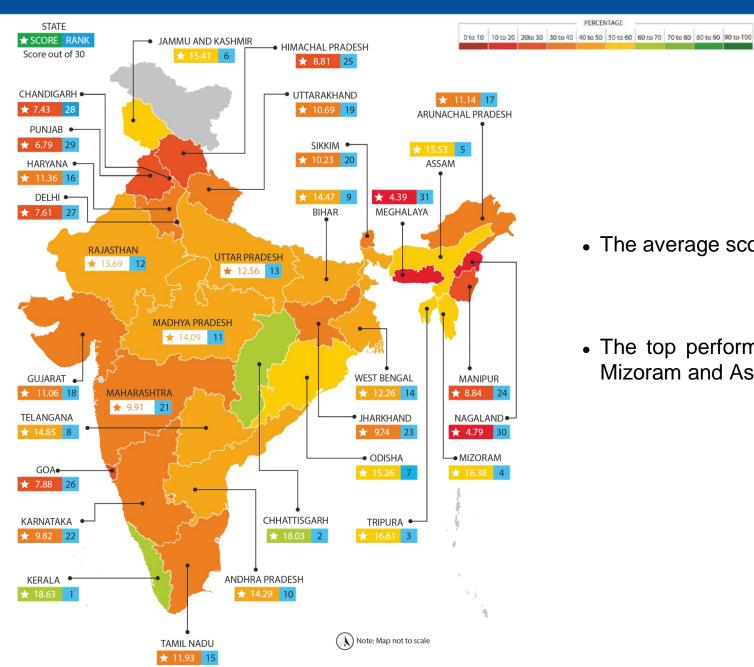


- Five states (Assam, Chhattisgarh, Madhya Pradesh, Tripura, and West Bengal) have Mayor-in-Council system as per their State Municipal Act.
- The persistent issue of a **weak mayoral system** across many states continues to constrain the executive role of city leadership.
- A significant concern is the high vacancy rate in sanctioned posts within Municipal Corporations. Patna has the highest percentage of vacant posts (89%) at the City Administration level. Nine cities viz. Ahmedabad, Gurugram, Shimla, Bhopal, Imphal, Aizawl, Amritsar, Greater Jaipur, and Kolkata have more than 40% vacant posts.
- Only Maharashtra (Mumbai) has devolved 11 of 18 functions to city government under the 12th Schedule of the Constitution. They are also increasingly managed by multiple agencies, reducing the independent authority of city governments.
- Only 12 City Governments viz. Raipur, Delhi, Ahmedabad, Bengaluru, Bhopal, Thiruvananthapuram, Mumbai, Aizawl, Chennai, Lucknow, Dehradun and Kolkata hold independent authority to introduce new taxes/charges as per the assigned list mentioned in the State Municipal Act.

Theme 1: Empowered City Elected Representatives And Legislative Structures

PERCENTAGE





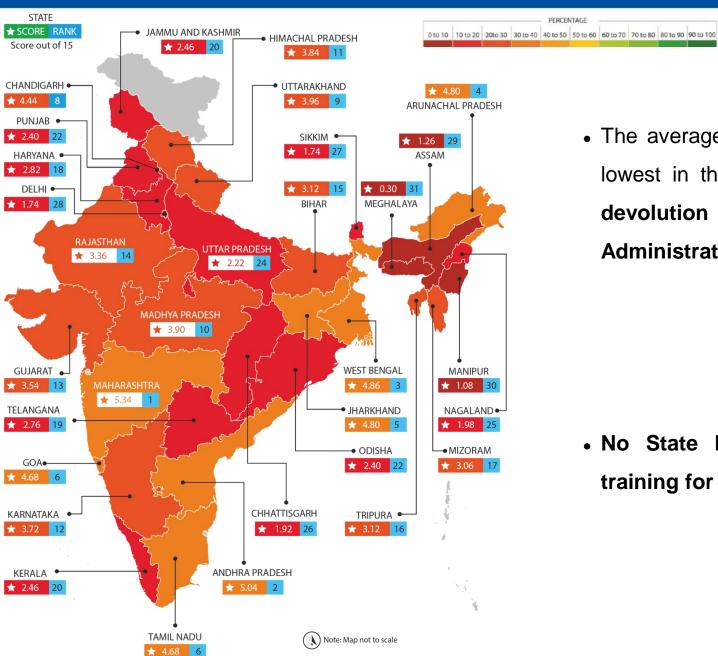
• The average score for **31 cities is 39.19%**

• The top performing states are Kerala, Chhattisgarh, Tripura, Mizoram and Assam



- Kerala is the only state to give the Mayor the authority to write the Commissioner's 'Annual Confidential Report'.
- Shimla is the only Municipal Corporation that facilitates a digital mechanism for Councillors to submit their questions.
- In seven cities (Chandigarh, Delhi, Panaji, Ahmedabad, Shimla, Bengaluru & Mumbai) the post of the Mayor is not co-terminus with the city government.
- Only four states (Chhattisgarh, Kerala, Mizoram and Odisha) mandate that every Councillor be part of at least one deliberative committee.
- 10 cities viz. Panaji, Shimla, Ranchi, Imphal, Shillong, Kohima, Amritsar, Gangtok, Dehradun, and Kolkata do not have a procedure to conduct business rules.
- Municipal Acts of three states viz. Assam, Meghalaya and Nagaland do not have provisions to constitute zonal/ward committees.
- Only four states and one union territory (Gujarat, Haryana, Karnataka, Maharashtra and Jammu and Kashmir) have made provision for all committees (including councils) to hold meetings at least once a month in their Municipal Acts.

Theme 2: Empowered City Administration



 The average score for 31 cities is 21.02%. States scored lowest in this pillar, averaging 3.15/15.00, due to limited devolution of functions and high vacancies in City Administration.

• No State Municipal Acts have provisions for regular training for Councillors.



Key Insights for Empowered City Administration



- City Administrations of only three states: Goa, Uttar Pradesh, and Uttarakhand have sanctioning authority for recruitment.
- Only three states Jharkhand, Mizoram, and Tripura have made provisions for the training of city administration in the Municipal Act.
- No state has devolved all 18 functions listed in the 12th Schedule of the Constitution to city governments. In Mumbai, 11 functions are under the independent control of the city, the highest among all states, while in three states (Meghalaya, Uttar Pradesh, and Uttarakhand) just one function is under the independent control of city governments.
- Only two cities Aizawl and Kolkata have a provision in their Municipal Acts for an autonomous body to recruit municipal officials for City Government.
- Patna has the highest percentage of vacant posts (89%) at the City Administration level. 9 cities viz. Ahmedabad, Gurugram, Shimla, Bhopal, Imphal, Aizawl, Amritsar, Greater Jaipur and Kolkata have more than 40% vacant posts.

Functions un government	der	city				Functions under multiple agencies									Functions under State government						
										18 Fi	unct	ions	;								
State	1	2	3	4	5	6a	6b	7	8	9	10	11	12	13a	13b	14	15	16	17a	17b	18
Andhra Pradesh																					
Arunachal Pradesh																					
Assam																					
Bihar																					
Chandigarh																					
Chhattisgarh																					
Delhi																					
Goa																					
Gujarat																					
Haryana																					
Himachal Pradesh																					
Jammu and Kashmir																					
Jharkhand																					
Karnataka																					
Kerala																					
Madhya Pradesh																					
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Mizoram																					
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Odisha																					
Punjab																					
Rajasthan																					
Sikkim																					
Tamil Nadu																					
Telangana																					
Tripura																					
Uttar Pradesh																					
Uttarakhand																					
West Bengal																					

Devolution of the 18 Functions



- No State has devolved all the functions to the cities. Only Maharashtra has devolved the highest (11 out of 18) functions.
- Only 3 States viz. Andhra Pradesh, Arunachal Pradesh, Chandigarh have devolved 7-9 functions under city government
- **17 States** viz. Bihar, Delhi, Goa, Gujrat, Haryana, Himachal Pradesh, Jammu and Kashmir, Jharkhand, Karnataka, Kerala, Madhya Pradesh, Odisha, Punjab, Rajasthan, Tamil Nadu, Telangana and West Bengal have devolved 4-6 functions under city government
- **10 States** viz. Assam, Chhattisgarh, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim, Tripura, Uttarakhand, Uttar Pradesh have devolved only 1-3 functions under city government.

11

1 Urban planning including town planning. 2 Regulation of land-use and construction of buildings 3 Planning for economic and social development 4 Roads and bridges 5 Water supply for domestic, industrial and commercial purposes 6a Public health, sanitation conservancy 6b Solid waste management 7 Fire services 8 Urban forestry, protection of the environment and promotion of ecological aspects 9 Safeguarding the interests of weaker sections of society, including the handicapped and mentally retarded 10 Slum improvement and upgradation 11 Urban poverty alleviation 12 Provision of urban amenities and facilities such as parks, gardens, playgrounds 13a Promotion of cultural and aesthetic aspects. 13b Promotion of Education 14 Burials and burial grounds; cremations, cremation grounds 15 Cattle pounds; prevention of crulety to animals 16 Vital statistics including birth and death registration 17a Public amenities including street lighting, parking lots and public conveniences. 17 b Bus stops 18 Regulation of slaughter houses and tanneries

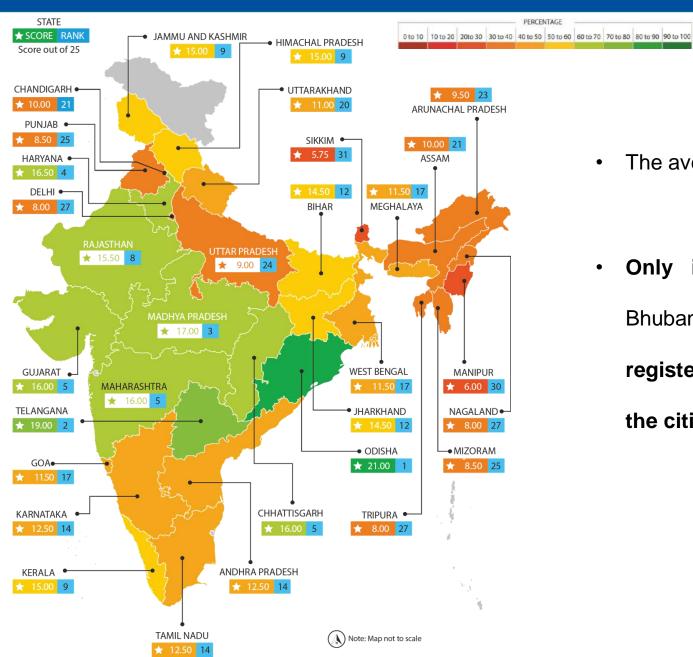
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Theme 3: Empowered Citizens



• The average score for 31 cities is **49.71%**

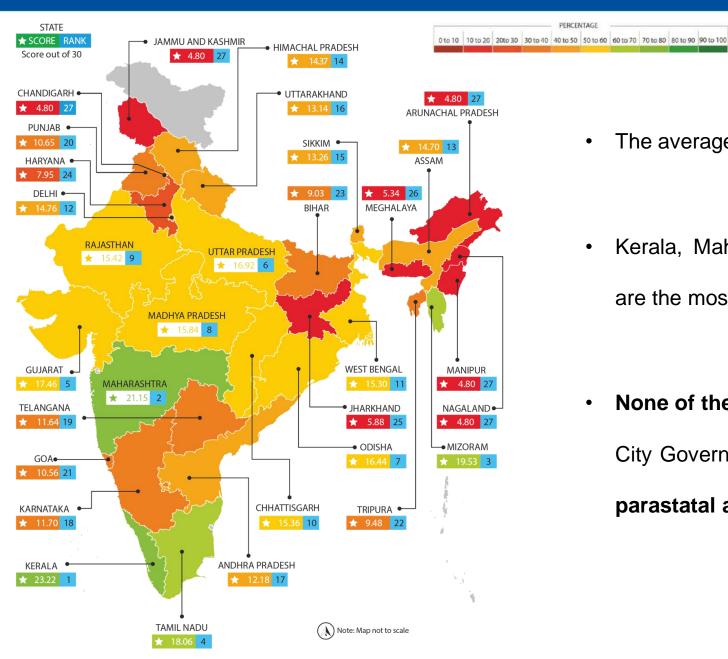
 Only in six Cities: Raipur, Gurugram, Bhopal, Bhubaneshwar, Jaipur and Hyderabad the registered complaints/grievances are closed by the citizens

Key Insights for Empowered Citizens



- Seven states (Bihar, Chhattisgarh, Haryana, Jharkhand, Madhya Pradesh, Uttar Pradesh, and Uttarakhand) conduct direct elections for the Mayor.
- No state has a provision giving the citizens the right to recall their Councillors.
- Only three cities Chandigarh, Srinagar, and Bhubaneswar have enabled citizens to communicate their suggestions (needs and wants) through their websites.
- Only two cities Mumbai and Bhopal have published the Annual Report/Annual Administrative Report on their websites.
- **14 cities** viz., Patna, Chandigarh, Delhi, Gurugram, Srinagar, Ranchi, Bengaluru, Mumbai, Imphal, Shillong, Kohima, Amritsar, Lucknow & Dehradun **have not constituted committees** as per the State Municipal Act.
- 14 cities viz., Vijayawada, Itanagar, Chandigarh, Panaji, Gurugram, Shimla, Bengaluru, Imphal, Shillong, Kohima, Amritsar, Gangtok, Chennai & Hyderabad do not have a provision for the constitution of an apex committee in the State Municipal Act.

Theme 4: Fiscal Empowerment



• The average score for **31 cities is 41.22%**

• Kerala, Maharashtra, Mizoram, Tamil Nadu and Gujarat are the most fiscally empowered states.

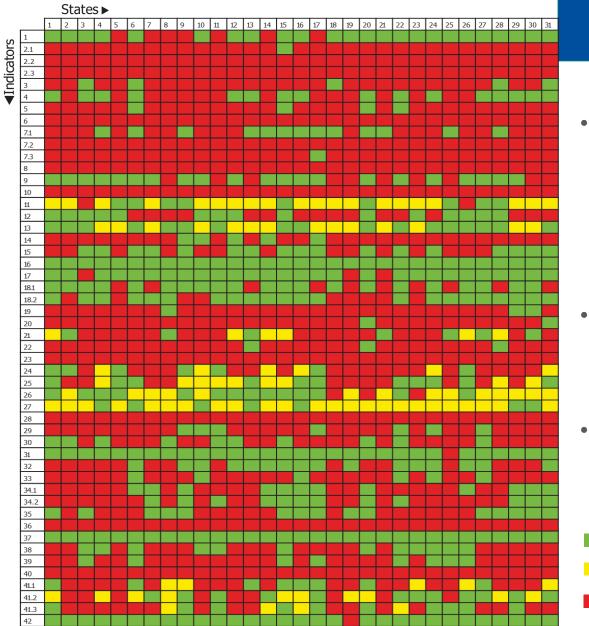
 None of the State Municipal Acts have provisions for the City Government budget to include budget allocated by parastatal agencies in the city



Key Insights for Fiscal Empowerment



- 16 cities Itanagar, Patna, Chandigarh, Raipur, Gurugram, Shimla, Srinagar, Ranchi, Bengaluru, Imphal, Kohima, Bhubaneswar, Amritsar, Jaipur, Gangtok and Chennai do not have an independent authority to approve the budget according to the State Municipal Act.
- No city governments receive a direct percentage share of GST.
- 13 State Municipal Acts viz. Andhra Pradesh, Chhattisgarh, Goa, Gujarat, Jharkhand, Kerala, Madhya Pradesh, Maharashtra, Mizoram, Punjab, Tamil Nadu, Telangana and West Bengal make it mandatory to publish the budget and accounts in the public domain.
- Meghalaya is the only state where the Municipal Act does not make provision for conducting an external audit.
- Only 12 cities out of 31 viz. Delhi, Ahmedabad, Shimla, Bengaluru, Mumbai, Bhubaneswar, Amritsar, Greater Jaipur, Chennai, Hyderabad, Lucknow and Kolkata have published their budgets on the City Government website in the last three years (for FY 2021-22, 2022-23 and 2023-24)





- Out of the four pillars of UGI, all the states have performed the lowest in Empowered City Elected Representatives (Avg. Score 11.76/30.00) and Legislative Structure and least in Empowered City Administration (Avg. Score 3.15/15.00)
- The availability of functional City Administration websites has increased the scores in the Empowered Citizens Pillar.
- All the states have performed the highest in the Fiscal Empowerment Pillar amongst all other four pillars

16

- Achieved Full Indicator Score
- Achieved Partial Indicator Score
- Achieved Lowest Indicator Score

STATE LIST: 1. Andhra Pradesh (Vijaywada), 2. Arunachal Pradesh (Itanagar), 3. Assam (Guwahati), 4. Bihar (Patna), 5. Chandigarh (Chandigarh) 6. Chhattisgarh (Raipur), 7. Delhi (Delhi), 8. Goa (Panaji), 9. Gujarat (Ahmedabad), 10. Haryana (Gurugram), 11. Himachal Pradesh (Shimala), 12. Jammu and Kashmir (Srinagar) 13. Jharkhand (Ranchi), 14. Karnataka (Bengaluru), 15. Kerala (Thiruvananthapuram), 16. Madhya Pradesh (Bhopal), 17. Maharashtra (Mumbai), 18. Manipur (Imphal), 19. Meghalaya (Shillong), 20. Mizoram (Aizawl), 21. Nagaland (Kohima), 22. Odisha (Bhubaneswar), 23. Punjab (Amritsar), 24. Rajasthan (Jaipur), 25. Sikkim (Gangtok), 26. Tamil Nadu (Chennai), 27. Telangana (Hyderabad), 28. Tripura (Agartala), 29. Uttar Pradesh (Lucknow), 30. Uttarakhand (Dehradun), 31. West Bengal (Kolkata)

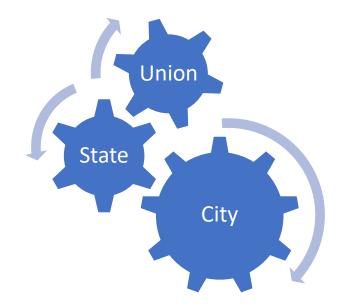
Reflections on India's Urban Governance



• Who is in charge of governing the cities?

• What resources they have for governance?

How citizens can be engaged in governance?



Thank You







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